

SMITA SHARMA & ASSOCIATES

Company Secretaries in Practise

FORTUNA TOWER

23A, N S Road, 5th Floor

Kolkata - 700 001

Phone - 98301-26765 / 4005-6808

Email- sharmasmitacs@gmail.com

SECRETARIAL COMPLIANCE REPORT OF

T & I GLOBAL LTD. (CIN: L29130WB1991PLC050797)

FOR THE FINANCIAL YEAR ENDED ON 31ST March, 2020

To

T & I Global Ltd.

11, Jassal House

4A, Auckland Square

Kolkata - 700017

We have examined:

- (a) all the documents and records made available to us and explanation provided by **T & I Global Limited** ("the listed entity")
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2020 ("Review Period") in respect of compliance with the provisions of :

(a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



SMITA SHARMA & ASSOCIATES

Company Secretaries in Practise

FORTUNA TOWER

23A, N S Road, 5th Floor

Kolkata - 700 001

Phone - 98301-26765 / 4005-6808

Email- sharmasmitacs@gmail.com

- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(not Applicable to the company during the Audit period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(not Applicable to the company during the Audit period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(not Applicable to the company during the Audit period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; (herein after as **"Insider Trading Regulation"**)
- (i) The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Act and dealing with client. circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (c) There are no action taken against the listed entity / its promoters / directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

Signature: *Smita Sharma*
Name of Firm: SMITA SHARMA & ASSOCIATES



ACS/FCS No. 17757

C P No.: 6077

Date: A017757B000528652 (UD/W) 31/07/2020

Place: KOLKATA